

## Perturbation Theory

We begin with

$$H^0 \psi_n^0 = E_n^0 \psi_n^0$$

where the  $\psi_n^0 \equiv$  constitutes a complete set of orthonormal functions

$$\langle \psi_n^0 | \psi_m^0 \rangle = \delta_{nm}$$

Next we perturb the systems so that we find new functions and eigenvalues

$$H \psi_n = E_n \psi_n$$

The new  $H$  can be written as the sum of two terms

$$H = H^0 + \lambda H'$$

Dirac liked to refer to  $H^0$  and  $H'$  as the "simple and small parts" of the Hamiltonian, respectively.

where  $\lambda \equiv$  small number.  $\psi_n$  and  $E_n$  can be expressed as power series in  $\lambda$ .

$$\psi_n = \psi_n^0 + \lambda \psi_n^1 + \lambda^2 \psi_n^2 + \dots$$

$$E_n = E_n^0 + \lambda E_n^1 + \lambda^2 E_n^2 + \dots$$

where  $E_n^1$  is the first order correction to the  $n$ th eigenvalue,  $E_n^2$  is the second order correction to the  $n$ th eigenvalue, and so forth!

Inserting  $\psi_n$  and  $E_n$  into equations above yields ...

$$(H^0 + \lambda H') [\psi_n^0 + \lambda \psi_n^1 + \lambda^2 \psi_n^2 + \dots] = (E_n^0 + \lambda E_n^1 + \lambda^2 E_n^2 + \dots) [\psi_n^0 + \lambda \psi_n^1 + \lambda^2 \psi_n^2 + \dots]$$

Collecting terms in like powers of  $\lambda$  yields ...

$$\begin{aligned} & H^0 \psi_n^0 + \lambda (H^0 \psi_n^1 + H' \psi_n^0) + \lambda^2 (H^0 \psi_n^2 + H' \psi_n^1 + \dots) \\ &= E_n^0 \psi_n^0 + \lambda (E_n^0 \psi_n^1 + E_n^1 \psi_n^0) + \lambda^2 (E_n^0 \psi_n^2 + E_n^1 \psi_n^1 + E_n^2 \psi_n^0) + \dots \end{aligned}$$

Lowest order yields ....

$$H^0 \psi_n^0 = E_n^0 \psi_n^0$$

$\lambda^1$  --first order term ....

$$H^0 \psi_n^1 + H' \psi_n^0 = E_n^0 \psi_n^1 + E_n^1 \psi_n^0$$

$\lambda^2$  --second order term....

$$H^0 \psi_n^2 + H' \psi_n^1 = E_n^0 \psi_n^2 + E_n^1 \psi_n^1 + E_n^2 \psi_n^0$$

## 1<sup>st</sup> Order Theory - Corrections to $E_0$ and to $\Psi$

Multiple the equation in  $\lambda^1$  on the left by  $(\psi_n^0)^*$

$$\langle \psi_n^0 | H^0 | \psi_n^1 \rangle + \langle \psi_n^0 | H' | \psi_n^0 \rangle = E_n^0 \langle \psi_n^0 | \psi_n^1 \rangle + E_n^1 \langle \psi_n^0 | \psi_n^0 \rangle$$

evaluate the first term

$$\langle \psi_n^0 | H^0 | \psi_n^1 \rangle = \langle H^0 \psi_n^0 | \psi_n^1 \rangle = E_n^0 \langle \psi_n^0 | \psi_n^1 \rangle$$

therefore first terms on the *lhs* and the *rhs* cancel.

This leaves

$$E_n^1 = \langle \psi_n^0 | H' | \psi_n^0 \rangle$$

**$E_n^{(1)}$  --FIRST ORDER CORRECTION TO ENERGY**

To obtain first order corrections to  $\psi_n^0$  we rearrange the  $\lambda^1$  equations as

$$(H^0 - E_n^0) \psi_n^1 = -(H' - E_n^1) \psi_n^0$$

Express  $\psi_n^1$  as expansion of  $\psi_m^0$  is

$$\psi_n^1 = \sum_{m \neq n} c_m^{(n)} \psi_m^0$$

Substituting into the above

$$\begin{aligned} (H^0 - E_n^0) \psi_n^1 &= (H^0 - E_n^0) \sum_{m \neq n} c_m^{(n)} \psi_m^0 = -(H' - E_n^1) \psi_n^0 \\ \sum_{m \neq n} (E_m^0 - E_n^0) c_m^{(n)} \psi_m^0 &= -(H' - E_n^1) \psi_n^0 \end{aligned}$$

left multiple by  $\psi_l^0$  and integrate

$$\sum_{m \neq n} (E_m^0 - E_n^0) c_m^{(n)} \langle \psi_l^0 | \psi_m^0 \rangle = -\langle \psi_l^0 | H' | \psi_n^0 \rangle + E_n^1 \langle \psi_l^0 | \psi_n^0 \rangle$$

- For  $l \neq n$  the left side is zero ...ie the *lhs* is

$$\sum_{m \neq n} (E_m^0 - E_n^0) c_m^{(n)} \langle \psi_n^0 | \psi_m^0 \rangle$$

and since  $m \neq n$  then  $\langle \psi_n^0 | \psi_m^0 \rangle = 0$ , and we recover the formula above for  $E^1$ .

- If  $l = n$  we obtain

$$(E_l^0 - E_n^0)c_l^{(n)} = -\langle \psi_l^0 | H' | \psi_n^0 \rangle$$

For  $l \Rightarrow m$

$$c_m^{(n)} = -\frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{E_m^0 - E_n^0}$$

And  $\psi_n^1$ , the first order correction to  $\psi_n^0$  is

$$\psi_n^1 = \sum_{m \neq n} \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle}{E_n^0 - E_m^0} \psi_m^0$$

The denominator is "well behaved" since  $m \neq n$ . When  $m = n$ , then two energy levels are degenerate, the denominator goes to zero, and the expression blows-up. To treat this case you need degenerate perturbation theory (a topic discussed in graduate quantum mechanics courses).

## Second Order Energies

Taking the inner product with  $\psi_n^0$

$$\langle \psi_n^0 | H^0 \psi_n^2 \rangle + \langle \psi_n^0 | H' \psi_n^1 \rangle = E_n^0 \langle \psi_n^0 | \psi_n^2 \rangle + E_n^1 \langle \psi_n^0 | \psi_n^1 \rangle + E_n^2 \langle \psi_n^0 | \psi_n^0 \rangle$$

$H^0$  is Hermitian therefore

$$\langle \psi_n^0 | H^0 | \psi_n^2 \rangle = \langle H^0 \psi_n^0 | \psi_n^2 \rangle = E_n^0 \langle \psi_n^0 | \psi_n^2 \rangle$$

So

$$E_n^2 = \langle \psi_n^0 | H' | \psi_n^1 \rangle - E_n^1 \langle \psi_n^0 | \psi_n^1 \rangle$$

But

$$\langle \psi_n^0 | \psi_n^1 \rangle = \sum_{m \neq n} c_m^{(n)} \langle \psi_n^0 | \psi_m^0 \rangle = 0$$

Therefore

$$E_n^2 = \langle \psi_n^0 | H' | \psi_n^1 \rangle = \sum_{m \neq n} c_m^{(n)} \langle \psi_n^0 | H' | \psi_m^0 \rangle$$

$$E_n^2 = \sum_{m \neq n} \frac{\langle \psi_m^0 | H' | \psi_n^0 \rangle \langle \psi_n^0 | H' | \psi_m^0 \rangle}{E_n^0 - E_m^0} = \sum_{m \neq n} \frac{H'_{mn} H'_{nm}}{E_n^0 - E_m^0}$$

**$E_n^{(2)}$  -- SECOND ORDER CORRECTION TO ENERGY**